

**PHILIPPINE FISHERIES AND COASTAL RESILIENCY  
(FISHCORE) PROJECT**

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**  
**Department of Agriculture - Bureau of Fisheries and Aquatic Resources**

Final  
May 3 2023

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)**

1. The Republic of the Philippines (hereinafter referred to as the Borrower), through the Department of Agriculture - Bureau of Fisheries and Aquatic Resources (DA-BFAR), will implement the Fisheries and Coastal Resiliency Project (FISHCORE) (hereinafter referred to as the Project), with the involvement of the following departments/agencies/units: National Fisheries Research and Development Institute (NFRDI), Philippines Fisheries Development Authority (PFDA), Department of Environment and Natural Resources (DENR), Department of Interior and Local Government (DILG), Department of Social Welfare and Development (DSWD), Department of Trade and Industry (DTI), National Commission on Indigenous People (NCIP), Cooperative Development Authority (CDA), Technical Education and Skills Development Authority (TESDA), and other relevant governmental agencies/departments/units at the national, regional, and local levels on Project implementation as may be necessary. The World Bank (hereinafter referred to as the Bank) has agreed to provide financing for the Project.
2. The Borrower will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out the needed material measures and actions, the specific documents or plans, as well as the timing for each of these.
3. The Borrower will also comply with the provisions of any other Environmental and Social (E&S) documents required under the Environmental and Social Framework (ESF) and referred to in this ESCP, such as the Environmental and Social Management Framework (ESMF), Strategic Environmental and Social Assessments (SESA), Environmental and Social Impact Assessments (ESIA), Environmental and Social Management Plans (ESMP), Resettlement Policy Framework (RPF), Indigenous Peoples Planning Framework (IPPF), Labor Management Procedures (LMP), and Stakeholder Engagement Plan (SEP), and the timelines specified in those E&S documents.
4. The Borrower is responsible for compliance with all the requirements of the ESCP even when the implementation of specific measures and actions is conducted by the DA-BFAR or other agency or unit referenced in clause (1) above.
5. The implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Borrower as required by the ESCP and the conditions of the legal agreement. On the other hand, the Bank will monitor and assess progress and completion of material measures and actions throughout the implementation of the Project.
6. As agreed by the Bank and the Borrower, this ESCP may be revised from time to time during project implementation to reflect adaptive management of Project changes and unforeseen circumstances or in response to the assessment of Project performance conducted under the ESCP itself. In such circumstances, the Bank and the Borrower shall mutually agree to the changes and update the ESCP to reflect such changes. Agreement on changes to the ESCP shall be documented through the exchange of letters signed between the Bank and the Borrower. The Borrower shall promptly disclose the updated ESCP.
7. Where there are changes in the Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Borrower shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health, and safety impacts, labor influx, and gender-based violence.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Bank and relevant oversight agencies/bodies such as the Regional Development Council (RDC) Regional Project Monitoring Committees (RPMC) of the covered regions the regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project and Subprojects, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and the functioning of the grievance mechanism(s) and grievances log. Monitoring and reporting frequency of each of the instruments will be provided in the respective E&amp;S instruments.</p> <p>In addition, the monitoring reports will include (i) design modification or change in scope of subprojects brought to Bank notice, (ii) assessment of changes and updating/addendum to ESIA/ESMP, (iii) consolidated contractors’ monthly report and site observations on Contractor’s performance on Environmental Social Health and Safety (ESHS) and other plans in ESMP, (iv) summary of stakeholder engagement activities as stated in the SEP, (v) status of E&amp;S staffing within NPMO, RPIUS and other implementation partners/agencies, (vi) capacity building /training activities undertaken for different project functionalities, and (vii) corrective Actions and planned E&amp;S activities for next quarter.</p>	<p>Quarterly Progress reports shall be submitted to the Bank on an agreed format not later than fifteen (15) days after the end of each quarter. The reporting format will be agreed by First Implementation Support Mission.</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units</p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, any COVID-19 outbreak in the Project and subproject areas. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address future recurrence of such incident/accidents, and any information provided by any contractor and supervising entity, as appropriate.</p> <p>Subsequently, as per the Bank’s request, prepare a root cause analysis report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p>Report incident or accident to the Bank within twenty four (24) hours and no later than forty eight (48) hours after learning of the incident or accident and provide investigation report within one week (to conform to Safeguards Incident Reporting Toolkit (SIRT) in case of accidents)</p> <p>Action taken status to be submitted with in a period of 7 days from the incident</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units</p>

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C	<p><b>CONTRACTORS MONTHLY REPORTS:</b></p> <p>The DA through BFAR shall cause the contractors to prepare and submit monthly Progress report (MPR) explaining the compliance status of the Project with the ESMP in their scope. Details will include status on:</p> <ul style="list-style-type: none"> <li>• Contractor’s ESMP implementation work plan and review summary of implementation progress by BFAR or its agency</li> <li>• Implementation of Contractor’s ESMP and related plans</li> <li>• Status of Compliance with E&amp;S statutory requirements (including consents, licenses, insurance, etc.)</li> <li>• Status on actions indicated in the Labor Management Procedure</li> <li>• ESHS incidents &amp; supervision</li> <li>• Usage of Personal Protective Equipment (PPE) such as hard hats, safety shoes and safety vests by workers</li> <li>• Safety at work sites like providing traffic signage, barriers/delineator, management of traffic, drainage and pliable road surface etc.</li> <li>• Training conducted, and workers participation (submit reports with statistics of training and worker’s participation)</li> <li>• Functioning of GRM relating to labor aspects, including summary details of Workers grievances</li> <li>• Community grievances</li> <li>• Corrective Actions and planned E&amp;S activities for next month</li> </ul>	<p>Monthly progress and monitoring reports would be prepared and submitted throughout the Project /Subproject life to the PMO.</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units; MPRs submitted by Contractors</p>



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <ul style="list-style-type: none"> <li>Establish and maintain an organizational structure with qualified staff and resources to support management of E&amp;S risks and the implementation of the E&amp;S instruments. In particular:                             <ul style="list-style-type: none"> <li>At national level, establish, and thereafter maintain a Project Management Office (NPMO) within BFAR, which shall be responsible for overall management of the Project’s environmental and social risk and impact</li> <li>At each lead BFAR Regional Offices in FMA 6 and 9, establish, and thereafter maintain a Regional Project Implementation Unit and Fisheries Management Area Coordinating Unit (FCU), and at each FCUs in other regions, designate a Social Safeguard Focal Person and Environmental Safeguards Focal Person, which shall be responsible for management of the Project’s environmental and social risk and impact of Project activities implemented at regional level</li> </ul> </li> <li>Establish and maintain institutional linkages and arrangements with other DA agencies (e.g., NFRDI, ACPC, Philippine Fisheries Development Authority (PFDA)), other partner government agencies (e.g., Department of Trade and Industry, Philippine Coast Guard), State Universities and Colleges and other academic and research institutions, local government units and communities within the river basin covering the project, and the private sector, including cooperatives and community-based organizations to support the implementation of the project at national, regional and local level through formal implementation arrangements (e.g. Joint Administrative Order, Implementation Agreement, Joint Memorandum Circular, and Memorandum of Agreement) as may be required</li> </ul>	<p>No later than sixty (60) days after the Effective Date and maintained throughout project implementation</p> <p>Throughout project implementation</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units</p>
1.2	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT</b></p> <ul style="list-style-type: none"> <li>Update, adopt, and implement the ESMF dated 09.21. 2021 (including ESA), that has been prepared for the Project, in a manner consistent with the ESS and acceptable to the Bank.</li> <li>Undertake detailed assessment and consultations of E&amp;S risks as required by the ESMF prepared for the Project, and as needed propose additional mitigation measures to be incorporated into the ESMF, RPF, IPPF, and SEP. Submit assessment report and revised ESMF, RPF, IPPF, and SEP, as needed, for Bank approval.</li> <li>Undertake detailed socio-economic assessments to identify impacts and livelihoods</li> </ul>	<p>Throughout project implementation</p> <p>Thought the project implementation</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units</p>



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	restoration measures and subprojects. Submit E&S screening and compliance for identified restoration measures and (subprojects) for Bank approval and disclosure.	Prior to initiating the implementation of livelihood restoration activities as subprojects	
1.3	<p><b>MANAGEMENT TOOLS AND INSTRUMENTS</b></p> <p>Conduct Strategic Environmental and Social Assessment (SESA) as an integral part of Fisheries Management Plan (FMP) preparation process and provide inputs to FMPs. The TOR for the technical assistance on FMP/SESA preparation will be submitted to the Bank for ESF review and clearance.</p> <p>Screen and assess E&amp;S risks and impacts for site-specific subprojects including commercial facilities supported by the project and tendered out to private operators, in accordance with the ESMF, LMP, SEP, RPF, and IPPF, and, thereafter, provide orientation to beneficiaries (e.g. on their rights and obligations), link beneficiaries to support services, and draft, adopt, and implement site-specific E&amp;S management plans (ESMPs, RAPs and IPPs), when required (see under respective ESSs), in accordance with the ESMF, SEP, RPF, and IPPF and in a manner acceptable to the Bank.</p> <p>Disclose and report to the Bank, in quarterly reports, all relevant E&amp;S instruments on the Project website and other accessible locations such as regional offices and participating LGUs</p>	<p>Prior to FMP preparation.</p> <p>Throughout project implementation. Site-specific E&amp;S management plans, when needed, are required prior to DA through BFAR's clearance to proceed with subsequent Subproject implementation (including bidding, as applicable). DA through BFAR will furnish all such approved site-specific E&amp;S management plans to the Bank for issuing No-Objection Letter (NOL).</p>	DA-BFAR with inputs from DENR, DILG, NCIP, NEDA, other national government agencies, and LGUs, as needed.
1.4	<p><b>E&amp;S BUDGET</b></p> <p>Adopt, and implement the Environmental and Social Management Budget included in ESMF, for the implementation and compliance of E&amp;S requirements for the Project.</p>	Initial budget evidenced by two months after Project effectiveness and annually thereafter as evidenced by PSC-approved work and financial plan	DA-BFAR and National Project Management Office / Regional Project Implementation Units
1.5	<p><b>CLEARANCES, PERMITS AND AUTHORIZATIONS</b></p> <p>DA through BFAR, in collaboration with the DENR Environmental Management Bureau (EMB), DILG and LGUs, by following the E&amp;S screening mechanism under ESMF, will prepare environmental assessment documents to support the application of Environmental Compliance Certificates (ECCs) or Certificate of Non-Coverage for each subproject to be funded for implementation.</p>	<p>No later than five months after the effective date and prior to the implementation of the identified Subprojects. However, in case of civil works, the ECC/CNC should be in place prior to finalizing the bidding documents.</p> <p>On an on-going basis for new subprojects identified during implementation</p>	DA-BFAR with DENR-EMB, LGUs (issuance of proof of compatibility with existing land use – Zoning Certification) for ECC application; and permits post-ECC issuance.

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1.6	Obtain or assist in obtaining permits, consents and authorizations from relevant authorities for smooth functioning and compliance of contractors and other stakeholders as per applicable laws. Ensure on-going compliance.	Prior to mobilization of Contractors and monitor compliance throughout project implementation	DA-BFAR with DENR-EMB, LGUs (issuance of proof of compatibility with existing land use – Zoning Certification) for ECC application; and permits post-ECC issuance. DA- BFAR and Contractors
1.7	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Selection of contractors: Ascertain that the contractors are legitimate and reliable entities and able to comply with the relevant environmental and social requirements of the Project.</p> <p>Incorporate the relevant aspects of the ESCP, including the relevant E&amp;S documents and/or plans, and the LMP, and GRM into the procurement documents with the contractors.</p> <p>Performance monitoring: Monitor the performance of the contractors to ensure that they comply with the environmental and social requirements of their respective contracts.</p>	<p>During evaluation of bidders</p> <p>Prior to the preparation of Project/Subproject procurement documents.</p> <p>Supervise contractors throughout Project/Subproject implementation.</p>	DA-BFAR and National Project Management Office / Regional Project Implementation Units
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Update, adopt, and implement the Labor Management Procedures (LMP), for the Project and sub-project, including through, inter alia, occupational, health and safety measures (including personal protective equipment, and emergency preparedness and response measures), setting out grievance mechanisms for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms if any.</p> <p>Implement the Code of Conduct which is included in the ESMF and LMP.</p>	<p>Implement the LMP throughout project implementation. Update the LMP when needed as recommended in bi-annual E&amp;S reviews, mid-term review or Bank supervision missions.</p> <p>Implement the Code of Conduct throughout project implementation.</p>	DA-BFAR and National Project Management Office / Regional Project Implementation Units with Contractor/Sub-contractors and their Primary Suppliers



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2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT STAKEHOLDERS</b></p> <p>Establish, maintain, and operate a grievance mechanism for the Project and/or subproject workers, as described in the LMP and consistent with the ESS2.</p> <p>Maintain and report grievance log, which shall be shared with the Bank.</p>	<p>Grievance mechanism operational prior to engaging project/subproject workers and maintained throughout project implementation.</p> <p>Submit as part of Quarterly Progress reports to the Bank.</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units</p>
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b></p> <p>Contractor to develop and implement the OHS plan in compliance with ESMF/ESMP to maintain safe working environment and workplace. The OHS Plan shall be applicable to subcontractors and community workers. The OHS Plan will include emergency preparedness and response plan; training of project workers and remedies for occupational injuries, accidents, fatalities, and disability and will follow the General Environment Health and Safety Guidelines (EHSG) as well as the EHSG for Aquaculture, Ports, Harbours, and Terminals.</p> <p>Monitor and report the performance of the contractors to ensure the implementation of occupational, health and safety measures and practices according to best industry standards and General Environment Health and Safety Guidelines (EHSG) as defined under the ESMF and LMP.</p>	<p>Prior to mobilization of project workers</p> <p>Submit as part of Quarterly Progress reports to the Bank.</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units with Contractor/Sub-contractors</p>
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p>Apply the ESMF, undertake threshold analysis as per Annex A of the DENR-EMB Memorandum Circular No. 2014-005 dated July 7, 2014 and prepare individual environmental assessment documents (of either an Environmental Impact Statement for Initial Environmental Examination (IEE) Checklist for ECC acquisition under the Philippine Environmental Impact Statement System (PEISS), or Project Description (PD) for the acquisition of a Certificate of Non-coverage (CNC) and facilitate awareness raising and access to training for beneficiaries, on resource efficiency and pollution prevention and control.</p>	<p>Throughout project implementation and prior to implementation of individual subprojects.</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units with DENR</p>
3.2	<p>For all subproject activities, assess impacts (through ESIA/ESA) from waste generation (including hazardous waste), pollution emissions and discharges, including GHG emissions where likely to be significant, and prepare and implement proportionate mitigation measures through ESMPs.</p>	<p>Throughout project implementation. Assessments and ESMPs to be prepared prior to bids</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units with DENR</p>
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			



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4.1	Prepare, adopt, and implement measures and actions to (i) assess and manage specific risks and impacts to the community arising from Project activities (including risks related to the behavior of the project workers, labor influx, increased traffic, increased social interactions between project workers and communities, increased construction activities, and prevent and respond to sexual exploitation and abuse, and sexual harassment, etc.), incorporate these measures in the Community Health and Safety Plan as part of Contractors—ESMP.	Throughout project implementation.	DA-BFAR and National Project Management Office / Regional Project Implementation Units, Contractors / Subcontractors
4.2	<b>EMERGENCY PREPAREDNESS AND RESPONSE</b> Conduct a risk hazard assessment as part of the environmental and social assessment under item 1.2 and prepare, adopt, implement, and review on a regular basis, an Emergency Response Plan to address emergency events arising from both natural (e.g., extreme weather events) and man-made (e.g., fire, explosions, leaks or spills) hazards. This will be prepared in coordination with the relevant local authorities and the affected community, consistent with the Local Disaster Risk Reduction and Management Plan, and will take into account the emergency prevention, preparedness and response arrangements put into place with project workers under ESS2.	Throughout project implementation and prior to implementation of individual subprojects.	DA-BFAR and National Project Management Office / Regional Project Implementation Units, Contractors / Subcontractors
4.3	<b>SECURITY PERSONNEL:</b> Adopt and implement the security protocol for use of government law enforcement personnel, when required, consistent with the requirements of ESS4.  If security personnel are used for the Project, the contractor shall develop and implement measures and actions to assess and manage the risks that could arise from the use of security personnel to project affected communities and workers engaged.	Adopt prior to- engaging government law enforcement personnel and engagement of security personnel by contractor and thereafter implemented throughout project implementation (in areas with known security threats).	DA-BFAR and National Project Management Office / Regional Project Implementation Units
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<b>RESETTLEMENT ACTION PLANS (RAPs):</b> Prepare, disclose, adopt, and implement resettlement action plans (RAPs) in accordance with information and template included in the ESMF and RPF if required and in a manner acceptable to the Bank.  Prepare, disclose, adopt, and implement Process Framework (PF) and subsequent	Prepared by Subproject Proponents before DA through BFAR clearance to proceed with Subproject implementation, if required. The RAPs and PF will be submitted to the Bank for approval and disclosure, after	DA-BFAR and National Project Management Office / Regional Project Implementation Units with LGUs



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	Livelihood Restoration Plan in accordance with information and templates included in the ESMF and RPF if required and in a manner acceptable to the Bank.	which it will be agreed between the Bank and Borrower whether subsequent RAPs and PF will require Bank approval. Once approved, RAPs and PF implemented prior to commencing project activities that involve land acquisition, resettlement impacts and limiting access resources and livelihoods.	
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	Assess the subproject risks and impacts on biodiversity and implement bio-diversity management plan as part of subproject ESMP	Prior to invitation to bid for subprojects	DA-BFAR and National Project Management Office / Regional Project Implementation Units
6.2	<b>SUBPROJECTS IN MARINE PROTECTED AREAS:</b> If applicable, DA through BFAR will set-up a working group with partners (DENR, NCIP, DA) to review options for subprojects in marine protected areas management. Working group will lead the preparation of a report of scope in protected areas, legal technical review, institutional review, socio-economic review, with recommended measures to address risks and impacts, including community access to natural resources, livelihoods, land tenure, and the determination of appropriate tenurial instruments, such as the Special Use Agreement in the Protected Areas (SAPA), to be issued and cooperation between DA through BFAR and DENR through the Biodiversity management Bureau (BMB) and the relevant Protected Areas Management Boards (PAMBs) of the National Integrated Protected Area System (NIPAS) Marine Protected Areas (MPA) having jurisdiction of the protected area.	No later than sixty (60) days after the effective date.	DA-BFAR and National Project Management Office / Regional Project Implementation Units, FishCoRe Coordinating Units
6.3	<b>PROTECTED AREAS/BIODIVERSITY CONSERVATION:</b> <ul style="list-style-type: none"> <li>Apply ESMF RPF, and IPPF-IPP, and measures as determined by the working group in 6.2, to screen subproject site within designated protected areas, if applicable. Individual Subprojects inside designated protected areas will be delineated and issued with other tenure instruments (e.g. Protected Area Community-based Resource Management Agreement - PACBRMA) issued by DENR, facilitated by DA through BFAR.</li> <li>DA through BFAR, NCIP (when required in Ancestral Domain (AD) areas) and DENR through BMB will assist in the preparation and provide recommendation on the update of existing Protected Area Management Plans (PAMP) to be presented to</li> </ul>	Throughout project implementation. Once DENR approves, Subproject beneficiaries implement the PAMPs, subject to monitoring by DENR. DA through BFAR will monitor sample PAMPs during implementation.	DA-BFAR and National Project Management Office / Regional Project Implementation Units, FishCoRe Coordinating Units with DENR and NCIP

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	the PAMB for their approval and adoption, and considering ESF requirements including ESS 5 and ESS 7 requirements if needed and in a manner acceptable to the Bank.		
6.4	<p><b>FOREST/WATERSHED PRESERVATION/PROTECTION</b></p> <ul style="list-style-type: none"> <li>If applicable, subprojects proposed and identified (for example freshwater fisheries) inside classified protection forest areas will not be issued individual tenurial instruments, but will be issued with other tenure instruments (e.g. Community-Based Forest Management Agreement - CBFMA) issued by DENR, facilitated by DA through BFAR.</li> <li>DA through BFAR, NCIP (when required in ADs) and DENR will assist the community prepare, or update existing, Community- Based Forest Management Plans (CBFMP) or similar instruments.in areas issued with CBFMAs.</li> </ul>	Throughout project implementation. Once DENR approves the CBFMP, identified stakeholders will implement the CBFMP, subject to monitoring by DENR. DAR will monitor sample CBFMPs during implementation.	DA-BFAR and National Project Management Office / Regional Project Implementation Units, FishCoRe Coordinating Units with DENR and NCIP
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<p><b>INDIGENOUS PEOPLES PLAN:</b></p> <p>Prepare, disclose, adopt, and implement Indigenous Peoples Plans (IPPs), in accordance and consistent with requirement of ESF ESS 7 and information and template included in the ESMF and IPPF prepared for the Project if required, including provisions for Free Prior Informed Consent (FPIC), if required, in a manner acceptable to the Bank.</p>	Prepared by Sub-project Proponents prior to DA through BFAR clearance to proceed with the implementation (if required) of the approved Subproject(s). The IPPs will be submitted to the Bank for approval and disclosure, after which it will be agreed between the Bank and Borrower whether subsequent IPPs will require Bank approval.	DA-BFAR and National Project Management Office / Regional Project Implementation Units, FishCoRe Coordinating Units, and Sub- project Proponents with NCIP
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<p><b>SCREENING FOR CULTURAL HERITAGE:</b></p> <p>If applicable, screen individual Subprojects in accordance with the ESMF, to ensure the identification and avoidance of impacts on cultural heritage and ancestral waters if any (sites will be protected following the provisions of RA 10066).</p> <p><b>CHANCE FINDS:</b></p> <p>Implement the cultural heritage protection plan and chance finds procedure described in the ESMF and ESMP developed for the subprojects.</p>	<p>Throughout project implementation.</p> <p>Prior to invitation to Bid</p>	DA-BFAR and National Project Management Office / Regional Project Implementation Units, FishCoRe Coordinating Units

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<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	This ESS is not relevant to the Project.		
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION:</b> Update, adopt, and implement the Stakeholder Engagement Plan (SEP). Updates to address stakeholder engagement based on COVID 19 and post COVID 19 protocols.	Throughout project implementation. Update as recommended in bi-annual ESF reviews, mid-term review or Bank supervision.	DA-BFAR and National Project Management Office / Regional Project Implementation Units, FishCoRe Coordinating Units
10.2	<b>COMMUNICATION PLAN:</b> Prepare detailed communication plan, including orientation awareness raising for beneficiaries.	No later than one hundred eighty (180) days after the effective date.	DA-BFAR and National Project Management Office through the Information and Fisherfolk Coordination Unit, Regional Project Implementation Units, FishCoRe Coordinating Units
10.3	<b>PROJECT GRIEVANCE MECHANISM:</b> Update, adopt, maintain, and operate a grievance mechanism, as described in the SEP. An accessible grievance mechanism shall be established, publicized, maintained and operated to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.  The grievance mechanism shall also receive, register, and address concerns and grievances related to the, sexual exploitation and abuse, sexual harassment in a safe and confidential manner, including through the referral of survivors to gender-based violence service providers.  Maintain and report grievance log, which shall be shared with the Bank.	Functional GRM will be ready by effectiveness and throughout Project implementation.  GRM ready by effectiveness and throughout Project implementation. Submit reports as part of Quarterly Progress reports to the Bank.	DA-BFAR and National Project Management Office / Regional Project Implementation Units
10.4	<b>CITIZEN ENGAGEMENT:</b> Establish and implement citizen engagement through existing platforms, such as integrity circles and the Fisheries and Aquatic Resources Management Councils	Submit as part of Quarterly Progress reports to the Bank	DA-BFAR and National Project Management Office / Regional

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(FARMCs), considering river basin-wide coverage (e.g. inter-provincial and regional institutional linkages) to promote transparency, accountability and trust among LGU, business groups and civil society organization (CSOs).	progress to establishment and implementation of citizen engagement at subnational level.	Project Implementation Units

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<b>CAPACITY SUPPORT (TRAINING)</b>		
<p>CS1</p> <p>Orientation/sensitization training to targeted groups about relevant topics                      Training for ESS Focal Persons (at National and Regional levels), and other involved staff involved in the Project from BFAR CO, NPMO, RPIU, FCU, and selected LGUs and communities in project area, on topics such as but not limited to:</p> <ul style="list-style-type: none"> <li>• World Bank Environmental and Social Framework and ESS Standards and Project level mitigation instruments, SESA</li> <li>• Subproject ESIA/ESMP preparation</li> <li>• Spatial and integrated area development planning (fisheries and water resources management)</li> <li>• Consultant Procurement and Contract Management including Guidelines on environmental and social conditions in the BOQ/contract documents</li> <li>• Management of Construction Impacts particularly in the context of Covid-19 pandemic.</li> <li>• Stakeholder mapping and engagement</li> <li>• Specific aspects of environment and social assessment emergency preparedness and response</li> <li>• Labor management procedures</li> <li>• Community health &amp; safety</li> <li>• Involuntary resettlement and land acquisition</li> <li>• Grievance redress mechanism</li> <li>• Alternative dispute resolution</li> <li>• Gender and Development (GAD)</li> <li>• Cultural heritage protection (where applicable) indigenous peoples (where applicable) online/digital facilitation skills (where applicable)</li> <li>• Supervision, monitoring and reporting of E&amp;S performance</li> <li>• Culture Sensitivity Training</li> </ul>	<p>During preparation</p> <p>From loan effectiveness and throughout project implementation to be conducted bi-annually or as needed depending on project implementation.</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units</p>
<p>CS2</p> <p>Training for project workers on occupational health and safety including emergency prevention and preparedness and response arrangements to emergency situations.</p>	<p>From loan effectiveness and throughout implementation. All field workers will receive training before field work commences.</p>	<p>DA-BFAR and National Project Management Office / Regional Project Implementation Units and contractors</p>



CS3	Facilitate access of Subproject beneficiaries to existing support services and training on climate-resilient fisheries and aquaculture livelihood and enterprise development, production technologies, market development, post-harvest management and the like.	Throughout project implementation.	DA-BFAR and National Project Management Office / Regional Project Implementation Units in cooperation with the NFRDI, PFDA, DTI, and other relevant agencies.
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